

04/10/01



# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

500188.03

April 10, 2001

First Inventor or Application Identifier

Jason B. Elledge

Title

APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT  
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL  
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE  
ASSEMBLIES AND METHODS FOR MAKING AND USING SAME

Express Mail Label No.

EL 647552127 US

(For nonprovisional applications under 37 CFR § 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

1. ☐ Fee Transmittal Sheet  
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant(s) Claim(s) Small Entity Status

3. ☒ Specification [Total Pages] **28**  
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☒ Drawing(s) (35 USC 113) [Total Sheets] **9**

☒ Oath or Declaration [Total Pages] **1**

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer-Readable Form (CRF)

b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper

c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)

10. ☐ Assignment Papers (cover sheet & document(s))

11. ☒ 37 CFR 3.73(b) Statement ☒ Power of Atto  
(when there is an assignee)

12. ☐ English Translation Document (if applicable)

13. ☒ Preliminary Amendment Total Pages **2**

14. ☒ Return Receipt Postcard

15. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

16. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

17. ☒ Other: Certificate of Express Mail  
Copy of Revocation and Subst. POA

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: 09/300,358 Filed April 26, 1999

Prior application information: Examiner Willie Berry, Jr.

Group / Art Unit 3723

☒ Incorporation By Reference (useable if box 5b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## CORRESPONDENCE ADDRESS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jason B. Elledge Attorney Docket No.: 500188.03  
Filed : April 10, 2001  
Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT  
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-  
MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE  
SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING  
SAME



**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL647552127US, on April 10, 2001, addressed to Box Patent Application, Commissioner of Patents, Washington, DC 20231.

Respectfully submitted,

DORSEY & WHITNEY LLP

/ for West Courier

MWR:sj

Enclosures:

Postcard  
Form PTO/SB/05  
Application Data Sheet  
Preliminary Amendment  
Copy of Specification, Claims, Abstract (28 pages)  
Copy of 9 Sheets of Drawings (Figures 1-9)  
Copy of Declaration  
Copy of Election and Power of Attorney  
Copy of Revocation and Substitute Power of Attorney  
Information Disclosure Statement and Form PTO-1449